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Application No. 10/714,466 TRANSMITTAL FORM Filing Date November 14, 2003 (to be used for all correspondence after initial filing) First Named Inventor Mark Bohr Art Unit 2824 **Examiner Name** Lebentritt, Michael Total Number of Pages in This Submission 15 Attorney Docket Number 42P13758C

ENCL	DSURES (check all that apply)				
Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s)	Petition to Convert a Provisional Application	Proprietary Information			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Express Abandonment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
Information Disclosure Statement	Request for Refund	Return receipt postcard			
PTO/SB/08 Certified Copy of Priority Document(s)	CD, Number of CD(s)				
Document(s) Response to Missing Parts/ Incomplete Application					
Basic Filing Fee Declaration/POA	Copy of PCT Search Report				
Response to Missing Parts under 37 CFR 1.52 or 1.53	Copies of cited art (6)				
SIGNATUI	RE OF APPLICANT, ATTORNEY, OR AG	ENT			
Firm Angelo J. Gaz	, Reg. No. 45,907				
for alternative and the second	SOKOLOFF, TAYLOR & ZAFN	MAN LLP			
Signature / / / /					
Date					
CERTIFICATE OF MAILING/TRANSMISSION					
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Signature Date March 8, 2004					

Based on PTO/SB/21 (02-04) as modified by Blakey, Solokoff, Taylor & Zafman (wir) 02/10/2004. SEND TO: Commissioner for Patents, R.O. Box 1450, Alexandria, VA 22313-1450

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FEE TRANSMITTAL for FY 2004 Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

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Complete if Known				
Application Number	10/714,466			
Filing Date	November 14, 2003			
First Named Inventor	Mark Bohr			
Examiner Name	Lebentritt, Michael			
Art Unit	2824			
Attorney Docket No.	42P13758C			

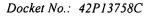
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Date

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None 3. ADDITIONAL FEES								
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Deposit Account	Large E	Large Entity Small Entity						
 	Fee Code	Fee (\$)	Fee Code	Fee (\$)	_			
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Account Name Blakely, Sokoloff, Taylor & Zafman LLP		~	LUCE	_	cover sheet.	order timing too or		
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The Commissioner is authorized to: (check all that apply)		2,520 920	1812	2,520 920	For filing a request for * Requesting publication	-	ation	
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Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication Examiner action	n of SIR after		
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FEE CALCULATION	1251	110	2251	55 240	Extension for reply with Extension for reply with			
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1. BASIC FILING FEE Large Entity Small Entity	1253 1254	1,480	2253 2254	740	Extension for reply wit		-	
Fee Fee Fee Fee Description FeePad		1,210	2255	605	Extension for reply with			
Code (\$) Code (\$)	1404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in suppor	t of an appeal		
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing		•	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p	ublic use proceedi	ng	
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive - una	voidable		
	1453	1,330	2453	665	Petition to revive - uni	ntentional		
SUBTOTAL (1) (\$)	1501	1,330	2501	665	Utility issue fee (or rei	ssue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee			
Claims below FeePad	1503	640	2503	320	Plant issue fee			
Independent 20 = X	1460	130	2460	130	Petitions to the Comm			
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1202 18 2202 9 Claims in excess of 20					(37 ČFR § 1.129(a))			
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invexamined (37 CFR § 1	ention to be .129(b))		
1203 290 2203 145 Multiple Dependent claim, if not paid	1801	770	2801	385	Request for Continued	Examination (RCE	≣)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited	examination		
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fee ((specify)			of a design application			
original patent								
SUBTOTAL (2) (\$)	*Reduced b	ny Rasic Fil	inn Fee	Paid		SUBTOTAL (3)	<u> </u>	
**or number previously paid, if greater, For Reissues, see below		-,				300101AL (3)	(\$)	
SUBMITTED BY						Comp	olete (if applic	able)
Name (Print/Type) Angelo J. Gaz		gistratioi rney/Ager		4	15,907	Telephone	(310) 20	7-3800

Based on PTO/SB/17 (10-03) as modified by Blakely, Bolokoff, Taylor & Zafman (wlr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450

Signature





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK BOHR

Application No.: 10/714,466

Filed: November 14, 2003

For: SELF-ALIGNED CONTACTS TO GATES

Art Group: 2824

Examiner: Lebentritt, Michael

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated February 19, 2004 (copy enclosed herewith) in a counterpart EPO application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 08, 2004

Angelo J. Gaz, Reg. No. 45,907

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

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03-08-04

Date

Iean S√oboda

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(use as many sheets as necessary)

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Application Number | 10/71
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First Named Inventor | Mark
Art Unit | 2824

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Sheet

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Complete if Known					
10/714,466					
November 14, 2003					
Mark Bohr					
2824					
Lebentritt, Michael					
42P13758C					

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,320,932	06-14-1994	Haraguchi et al			
		US-6,091,129	07-18-2000	Cleeves			
		US-6,278,189	08-21-2001	Jeng et al			
		US-6,084,305	07-04-2000	Harvey			
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	Т°			
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		EP 0465056	01-08-1992	American Telephone & Telegraph					
		EP 0601951	06-15-1994	IBM					
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Examiner	Date		
Signature	Considered]	

*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 10/714,466 **INFORMATION DISCLOSURE** November 14, 2003 Filing Date Mark Bohr **STATEMENT BY APPLICANT** First Named Inventor 2824 Art Unit (use as many sheets as necessary) Lebentritt, Michael **Examiner Name** Sheet 2 of 2 Attorney Docket Number 42P13758C

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		PCT International Search Report dated 2/19/2004 related to International Application No. PCT/US03/26565				
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	···					

Examiner	Date	
Signature	Considered	

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